



*New Chemistry with **Gaussian 16**
and **GaussView 6***

Continuing the nearly 40-year tradition of the Gaussian series of electronic structure programs, Gaussian 16 offers new methods and capabilities which allow you to study ever larger molecular systems and additional areas of chemistry. We highlight some of them here. References below correspond to the implementation in Gaussian. For more information, including full method reference lists, see our website at gaussian.com.

Overview of What's New in Gaussian 16

Gaussian 16 brings a variety of new methods, property predictions and performance enhancements. Details about many of them are given elsewhere in this brochure.

Modeling Excited States

- ◆ Analytic frequency calculations for the time-dependent (TD) Hartree-Fock and DFT methods, including ONIOM electronic embedding fully coupled with the environment of the MM region, without additional approximations [WilliamsYoung17p].
- ◆ Geometry optimizations with the high accuracy EOM-CCSD method (analytic gradients) [Caricato12a].
- ◆ Anharmonic analysis for calculating IR, Raman, VCD and ROA spectra [Bloino12, Bloino12a, Bloino15]. Calculations in solution take the interaction between the excitation and the solvent field fully into account [Cappelli11].
- ◆ Vibronic spectra prediction [Barone09, Bloino10, Baiardi13].
- ◆ Chiral spectroscopies: electronic circular dichroism (ECD) and circularly polarized luminescence (CPL) [Barone12, Barone14].
- ◆ Modeling of resonance Raman spectroscopy [Egidi14, Baiardi14].
- ◆ Computation of electronic energy transfer (EET) [Iozzi04].
- ◆ Ciofini's excited state charge transfer diagnostic (D_{CT}) [LeBahers11, Adamo15].
- ◆ EOM-CCSD solvation interaction models of Caricato [Caricato12b].

New Methods

- ◆ Many DFT functionals have been added to Gaussian since the initial release of G09, including APFD [Austin12], functionals from the Truhlar group (most recently MN15 and MN15L [Yu16]) and PW6B95 & PW6B95D3 [Zhao05a].
- ◆ Additional double-hybrid methods: DSDPBEP86 [Kozuch11], PBE0DH, PBEQIDH [Bremond11, Bremond14].
- ◆ Empirical dispersion for a variety of functionals, using the schemes of Grimme (GD2, GD3, GD3BJ) [Grimme06, Grimme10, Grimme11] and others.
- ◆ The PM7 semi-empirical method, both in the original formulation [Stewart13], and with modifications for continuous potential energy surfaces [Throssel17p].

Performance Enhancements

- ◆ Support for NVIDIA K40 and K80 GPUs on Linux systems, for HF and DFT calculations.
- ◆ Enhanced parallel performance on larger numbers of processors.
- ◆ Speedups for key parts of several calculation types.
- ◆ CASSCF improvements and support for active spaces of up to 16 orbitals [Li11].

Ease of Use Features

- ◆ Automatically recalculate the force constants every n^{th} step of a geometry optimization.
- ◆ An expanded set of Link 0 commands and corresponding *Default.Route* file directives.
- ◆ Tools for interfacing Gaussian with external programs in compiled languages such as Fortran and C and/or in interpreted languages such as Python and Perl.
- ◆ Generalized internal coordinates: define arbitrary redundant internal coordinates and coordinate expressions for use as geometry optimization constraints.

Overview of What's New in GaussView 6

GaussView 6 provides support for all major Gaussian 16 features as well as offering additional modeling options of its own:

Building and Manipulating Molecular Structures

- ◆ Select step(s) to open from multi-job Gaussian input files.
- ◆ New advanced open dialog, allowing options to be modified and retained across sessions: whether to load intermediate geometries, weak bond inclusion, PDB file processing options, and similar settings.
- ◆ New brush selection mode to accurately choose atoms within dense regions.
- ◆ Open PDB files created by Amber.
- ◆ Reduce symmetry of a molecule to a specified point group (using the same feature as for increasing and constraining symmetry).
- ◆ Specify custom bonding parameters.

Gaussian Job Setup & Execution

- ◆ Support for new features in Gaussian 16, as well as relevant updates to existing job setup options.
- ◆ Create and/or initiate identical calculations for a series of molecules in a single step.
- ◆ Create new Gaussian input files which use the molecule specification and other data from a checkpoint file.
- ◆ Support for a wide range of Link 0 commands.
- ◆ Expanded access to population analysis options.
- ◆ Preview the input file as it is created.
- ◆ The SC Job Manager provides a straightforward, customizable queueing system for the local computer.

Additional Modeling Capabilities

- ◆ Perform conformational searches using the GMMX add-on package. GaussView allows you to:
 - ◆ Specify the force field, energy range and other parameters for the search.
 - ◆ Open some or all of the identified conformations into a molecule group.
 - ◆ View an energy plot for the set of conformations.
 - ◆ Set up follow-up calculations for desired conformations in a single step.
- ◆ Optional full AMPAC interface integration.

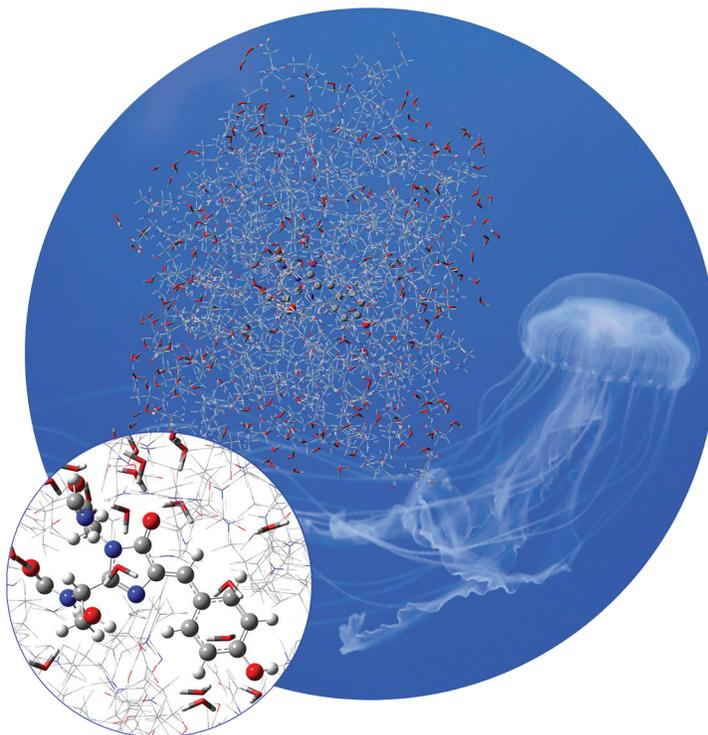
Examine & Visualize Results

- ◆ Select step(s) to open from multi-calculation Gaussian results files.
- ◆ Visualize predicted bond lengths and bond orders.
- ◆ Results from anharmonic frequency calculations can be plotted alone or in combination with the harmonic results.
- ◆ Include multiple calculation results in the same plot. Spectra from multiple conformations can be Boltzmann-averaged.
- ◆ Customize plots: specify line colors and widths, canvas and background colors, label and title fonts, X- and Y-axis settings, and so on.
- ◆ Display the solvation cavity surface for an SCRF calculation.
- ◆ Plot the results of Optical Rotary Dispersion (ORD) calculations.
- ◆ Scale frequencies by a specified value for plotting.
- ◆ Support for Gaussian trajectory calculations.
- ◆ Image capture enhancements: background color, save images of multiple molecules in a single operation.
- ◆ Image capture and printing defaults.
- ◆ Enhanced movie creation: support for MPEG4 files; set options for frame looping and time delays.

File Conversion & Customization

- ◆ Convert files between different formats; AMPAC input files, Gaussian input files, MDL Mol and SDF files, GMMX input files and Sybyl Mol2 files.
- ◆ Set defaults for image capture, movie generation and custom bonding parameters.
- ◆ Context-specific help system.

Application Example: GFP



Studying Green Fluorescent Protein

GFP is a protein that fluoresces bright green when exposed to light in the blue-to-ultraviolet range. The chromophore is shown in the inset above. The molecule was first isolated in the jellyfish species *Aequorea victoria*, which is native to the Pacific northwest coast of North America. Since then, it has been studied extensively, and variants of the molecule with enhanced fluorescence properties have been engineered.

GFP consists of a chromophore within a protein chain composed of 238 amino acids. The isolated chromophore is not fluorescent, so modeling it in its protein environment is essential. GFP's fluorescence cycle involves an initial excitation to its first excited state, a proton transfer reaction on the S_1 potential energy surface, and finally a relaxation back to the ground state.

The following features of Gaussian 16 and GaussView 6 are useful for modeling fluorescence in this compound:

- ◆ GaussView can directly open files from the Protein Data Bank (PDB files). It can add hydrogens to the retrieved structure when imported or at a later time. You can also view, manipulate and modify the structure using the PDB chain, residue type and/or number, and other PDB substructure information present in the original file. Residue and other information can be retained throughout molecule editing and job execution.
- ◆ The molecule can be modeled via MO:MM calculations using Gaussian's ONIOM facility:
 - ◆ GaussView makes it easy to define ONIOM layers based on many different criteria. For this molecule, assigning atoms by PDB residue is often the most straightforward.
 - ◆ GaussView identifies molecular mechanics atoms types and partial charges automatically in most cases. It is also simple to locate atoms missing types and to specify/modify types and charges as desired. Gaussian incorporates standard MM parameters for Amber and other force fields and also allows you to define MM parameters as needed.
 - ◆ Gaussian's ONIOM electronic embedding feature includes all of the effects of the protein environment without neglecting terms in the MM coupling with the chromophore QM treatment.
- ◆ Explicit solvent molecules can be included in the calculation (e.g., water molecules above).
- ◆ Gaussian can optimize the geometries of the minima and transition structures on the excited state PES with TD-DFT. GaussView includes features for setting up reliable QST2/QST3 transition structure optimizations with minimum effort.
- ◆ An IRC calculation in Gaussian can follow the corresponding S_1 PES reaction path, which can then be animated in GaussView.
- ◆ Gaussian can perform vibrational frequency analysis in order to predict the IR/Raman spectra and normal modes. A variety of other spectra are also available, including vibronic spectra. GaussView can display plots of the predicted spectra and animate the associated normal modes (as applicable).
- ◆ GaussView makes it easy to examine the results of one calculation and then set up and initiate the next calculation in sequence via an intuitive interface to all major Gaussian 16 features.

[Thompson14] and [Petrone16] are excellent studies of GFP using the previous version of Gaussian. See chapter 9 of [Foresman15] for a detailed tutorial about preparing PDB files for use with the ONIOM facility in Gaussian.

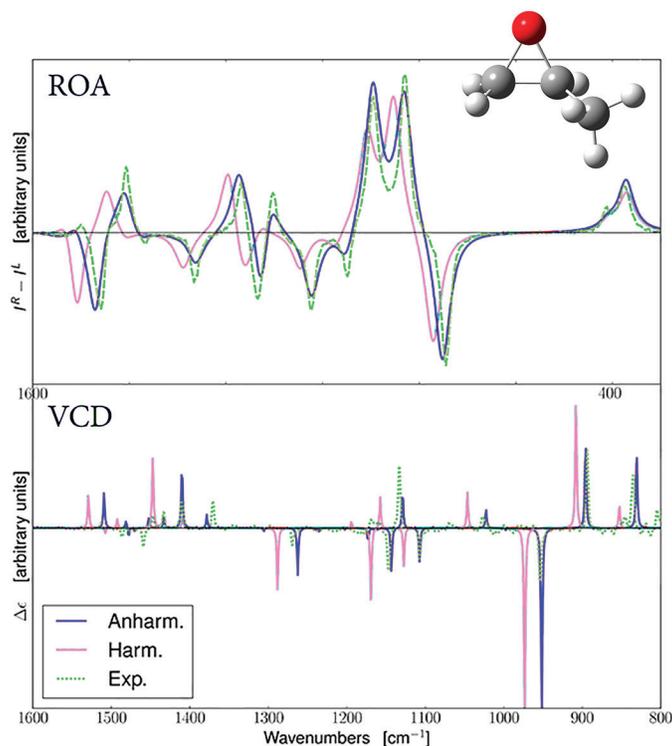
Sophisticated Modeling of Excited States

Excited state methods and properties received a lot of attention as we developed Gaussian 16. With its analytic TD-DFT frequencies, you can optimize excited state transition structures and perform IRC calculations. Analytic EOM-CCSD gradients let you optimize the structures of molecules that require a high accuracy excited state treatment.

Anharmonic Vibrational Analysis

Standard vibrational frequency analysis employs the double harmonic approximation, the simplest description of the vibrations and the molecule's interaction with the electromagnetic field of the incident photons. It treats nuclear vibrations as simple harmonic motion about the minimum energy structure and uses a correspondingly simplified model for the electromagnetic field interaction. For many systems, this is sufficient, but sometimes the approximation reduces the accuracy of the predicted spectra.

Anharmonic frequency analysis relaxes both parts of the double harmonic approximation by introducing additional mathematical terms: higher derivatives of the energy, dipole moment, polarizability (as appropriate to the type of spectroscopy being modeled). Doing so results in changes in the locations of the fundamental frequencies and enables the prediction of overtone and combination bands. Later revisions of Gaussian 09 included anharmonic IR and Raman spectra, and Gaussian 16 adds anharmonic VCD and ROA spectra.



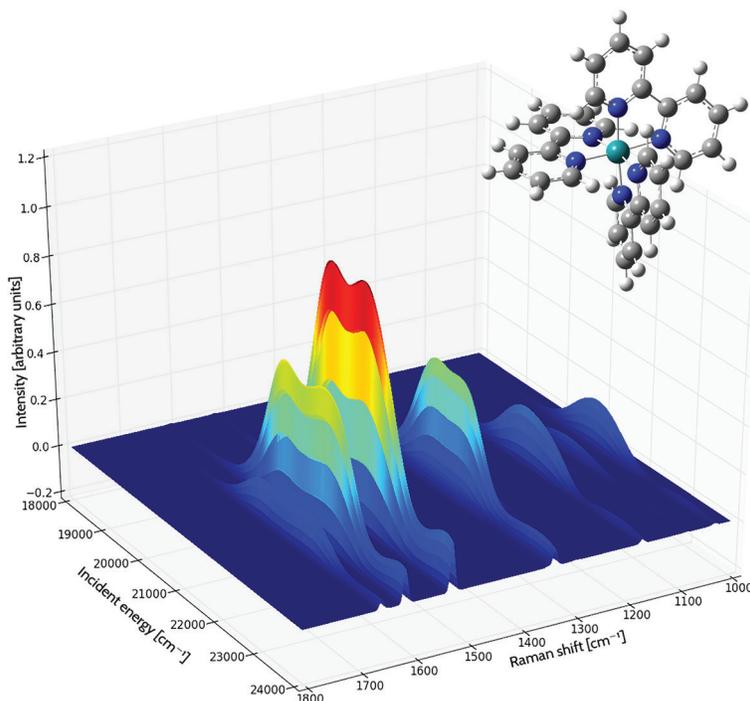
Predicted ROA and VCD Spectra for R-methyloxirane

The spectra predicted with harmonic and anharmonic analyses are in pink and blue (respectively). The experimental spectra are in green [Merten13], and they have been added to the GaussView plot. For both ROA and VCD, the anharmonic results are in better agreement with the observed spectrum [Bloino15].

Resonance Raman Spectroscopy

Gaussian 16 can predict Resonance Raman (RR) spectra. RR spectroscopy is a type of Raman spectroscopy where the incident laser frequency is close in energy to an electronic transition of the compound being studied. This has the advantage of enhancing the intensity of the scattered light.

These experiments typically scan over a range of frequencies for the incident light. The resulting data can be plotted as a three dimensional surface: the incident light frequencies and the corresponding observed peaks are plotted in the X-Y plane, with the intensity determining the height of the curve above the plane.



Resonance Raman spectrum of the tris(2,2'-bipyridyl)-ruthenium(II) Complex

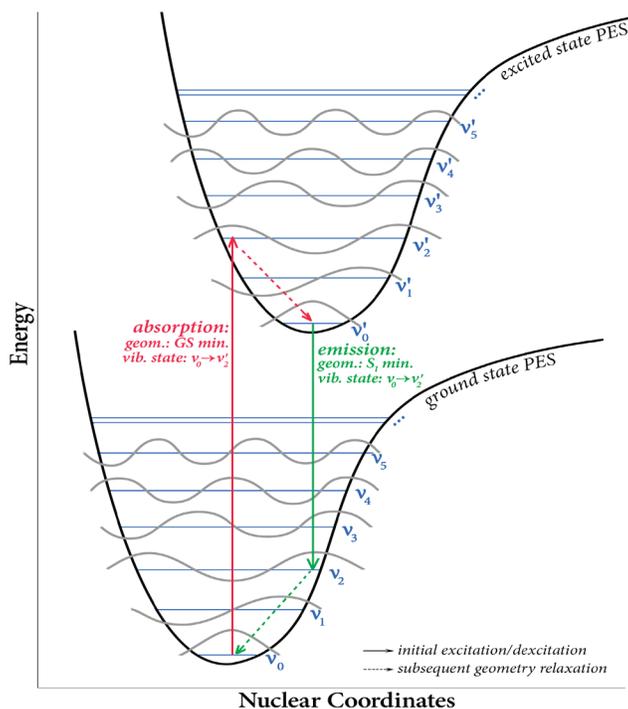
Each curve shows the intensity of the Raman shift produced by various frequencies of incident light. The area under each curve is filled, colored by the magnitude of the intensity (blue to red as the intensity increases). The spectrum was computed in acetonitrile solution [Baiardi14].

Franck-Condon/Herzberg-Teller Analysis and Vibronic Spectra

Gaussian 16 can compute vibronically-resolved electronic spectroscopy for one photon absorption processes. In a vertical excitation resulting from the absorption of a photon, a molecule moves from its ground electronic state to an excited state. The geometry of the excited state immediately afterwards is not the equilibrium structure of the excited state, i.e., the minimum on the excited state potential energy surface. Rather, because the time scale in which electronic transitions occur is so small compared to nuclear motions, the nuclei are nearly unaffected, and the ground state equilibrium geometry is initially retained.

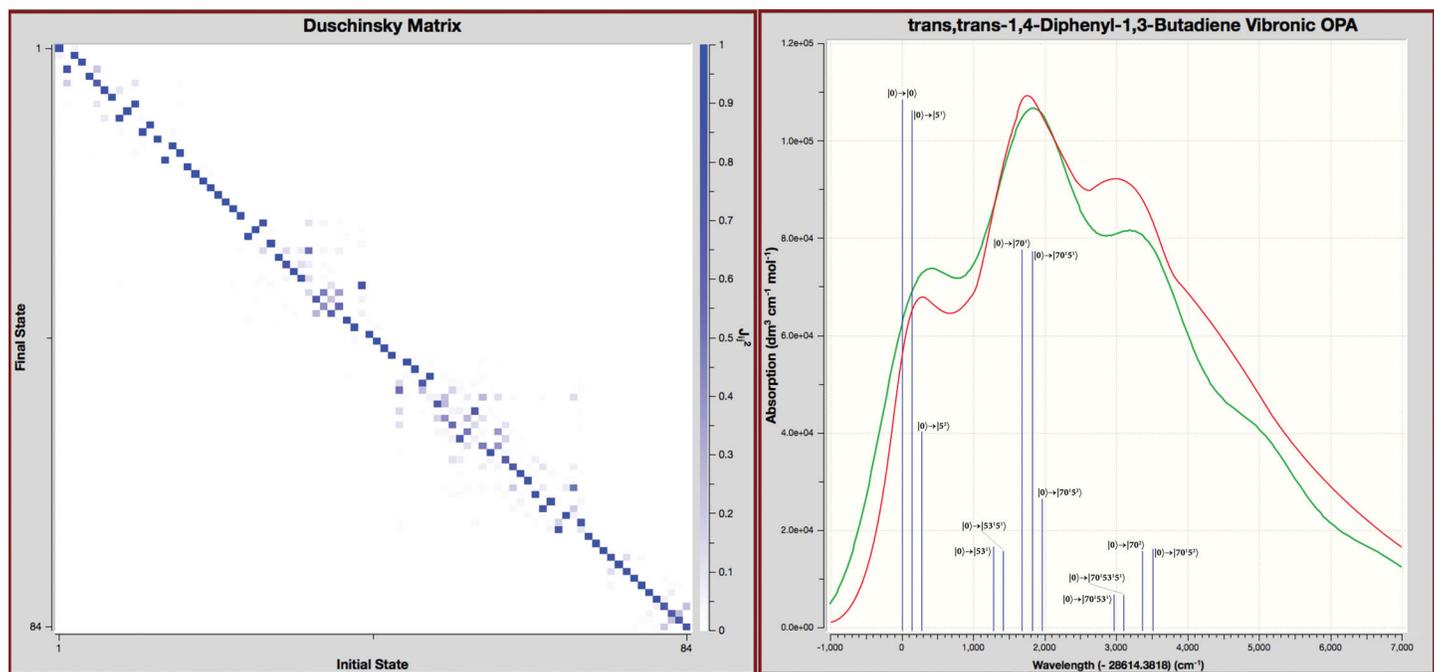
A consequence of the geometry of the excited state being initially unchanged from the ground state is that the vibrational state taken on by the molecule in its excited state need not be the lowest one. Rather, when the molecule transitions to the excited state, it moves to a vibrational state that is instantaneously compatible with its current one.

In reality, the actual energy transition observed in an experiment is a series of many energy transitions from the various vibrational levels of the ground state to the various vibrational levels of the excited state, with the strength of each individual transition depending on the population of that state and the overlap between the ground and excited vibrational wavefunctions for that transition.



These effects are the basis of the Franck-Condon principle. Gaussian 09 introduced Franck-Condon and Herzberg-Teller analysis capabilities (as well as their combination), allowing these spectra to be predicted. Gaussian 16 significantly enhances these features, adding the ability to predict intensities, perform band assignment and providing other data about overlap between states.

Examples of the results of Gaussian 16's vibronic analysis capabilities as visualized in GaussView 6 are shown below.



Duschinsky Matrix and Vibronic One Photon Absorption Spectrum for trans,trans-1,4-Diphenyl-1,3-Butadiene

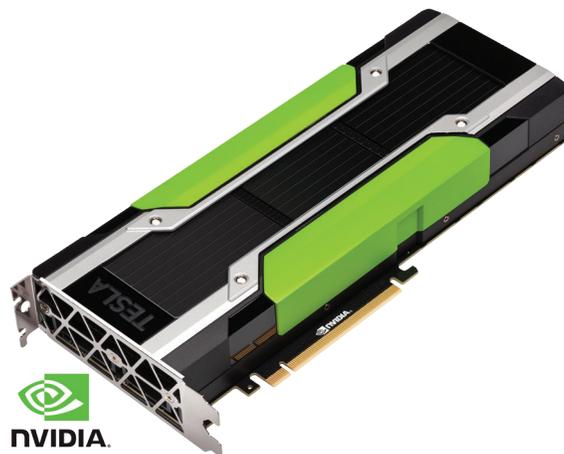
The Duschinsky matrix (left) is a visual depiction of vibrational mode overlaps between the corresponding modes in the ground state and the excited state. It is a good approximation of this data so long as no large distortion occurs during the transition. In these plots, mode numbers for the ground state run along the X-axis, and those for the excited state run down the Y-axis. The intensities of the squares in the plot range from solid (100% state overlap) to about eight tone (~12% overlap). This example shows mostly strong overlap along the diagonal indicating that the Franck-Condon perturbation is a good approach to describing the vibronic coupling. Further, modes with significant differences can readily be identified.

The plot on the right shows the predicted (red) and experimental (blue) vibronic spectrum for the same compound [Foresman15]. The transitions requested in the calculation are represented by the vertical green lines which we have labeled with their specific transition. We added the observed spectrum and transition labels to the GaussView display. Note that transitions can be computed for only a portion of the total spectrum if desired. The X-axis indicates the energy relative to the 0-0 transition (a GaussView plot option)

Performance Enhancements

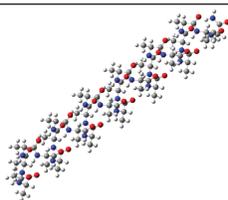
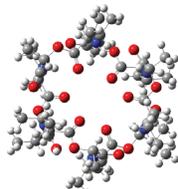
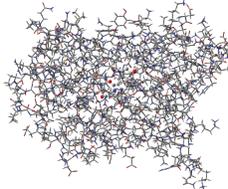
Support for GPUs

NVIDIA Tesla K40 and Tesla K80 GPUs can be used on Linux systems for Hartree-Fock and DFT calculations, including energies, optimizations and frequencies, for ground and excited states (TD), and for closed shell and open shell molecules. ONIOM, SCRF solvation and all major properties are supported.



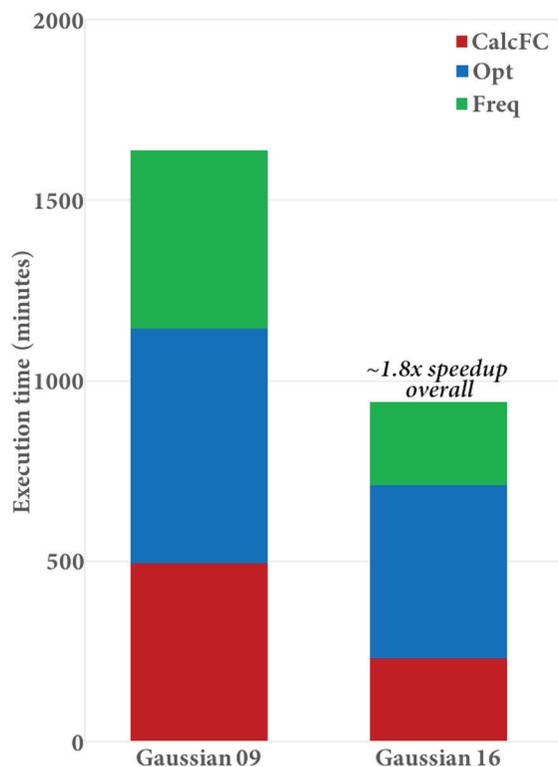
GPUs are used for compute-intensive functions (the small fraction of code comprising a large fraction of execution time). Our implementation, produced in partnership with NVIDIA, PGI and Hewlett-Packard, balances the efficient use of all available computational resources: CPU cores and memory as well as available GPUs and memory. GPUs are requested via a simple specification using either Link 0 directives or *Default.Route* entries.

The following table provides some example performance data for this initial version of GPU support. All calculations were run on an HP Series SL2500 server system with 32 Haswell cores and four NVIDIA K80 2-GPU boards. The timings compare running on all of the 32 CPU cores alone with running on all eight GPUs and the remaining available 24 CPU cores (with 8 cores used as GPU controllers).

<u>Molecule</u>	<u>Calculations</u>	<u>Speedup with GPUs</u>
Alanine 25 	APFD/6-31G(d) Freq	1.45
	TD APFD/6-31G(d) Freq	1.39
	APFD/6-311+G(2d,p) NMR SCRF	1.34
Valinomycin 	wB97xD/6-311+(2d,p) Freq	2.25
GFP 	ONIOM(APFD/6-311+G(2d,p):Amber)=Embed Freq	1.60
	TD ONIOM(APFD/6-311+G(2d,p):Amber)=Embed Freq	2.07

Parallel Calculations on Larger Numbers of Processors

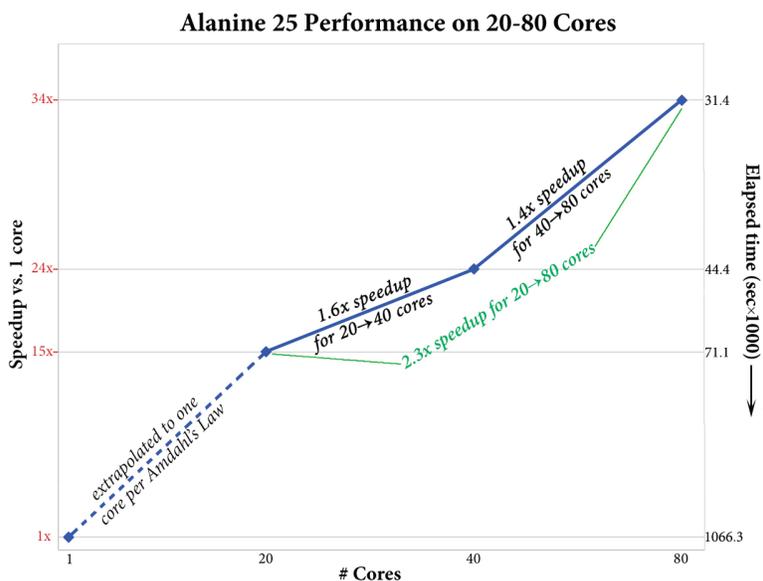
Gaussian 16 performs significantly better than previous versions when run across more than 10-20 cores.



Speedup for an Opt+Freq Calculation on Alanine 25

This APFD/6-31G(d) Opt=CalcFC Freq calculation on Alanine 25 is 1.8 times faster in Gaussian 16 than in Gaussian 09. The CalcFC and Freq portions of the calculation comprise 47% of the execution time in Gaussian 16 compared to 61% in Gaussian 09.

Gaussian 16's performance scales effectively to 80 cores or more (depending on the calculation and molecule). The following plot gives the speedups for 20, 40 and 80 cores for an APFD/6-31G(d) Freq calculation on Alanine 25. This job shows good parallel speedups with increasing numbers of processors; the speedup when moving from 20 to 80 cores is about 2.3, and the estimated speedup for 80 cores over a single processor is 34.



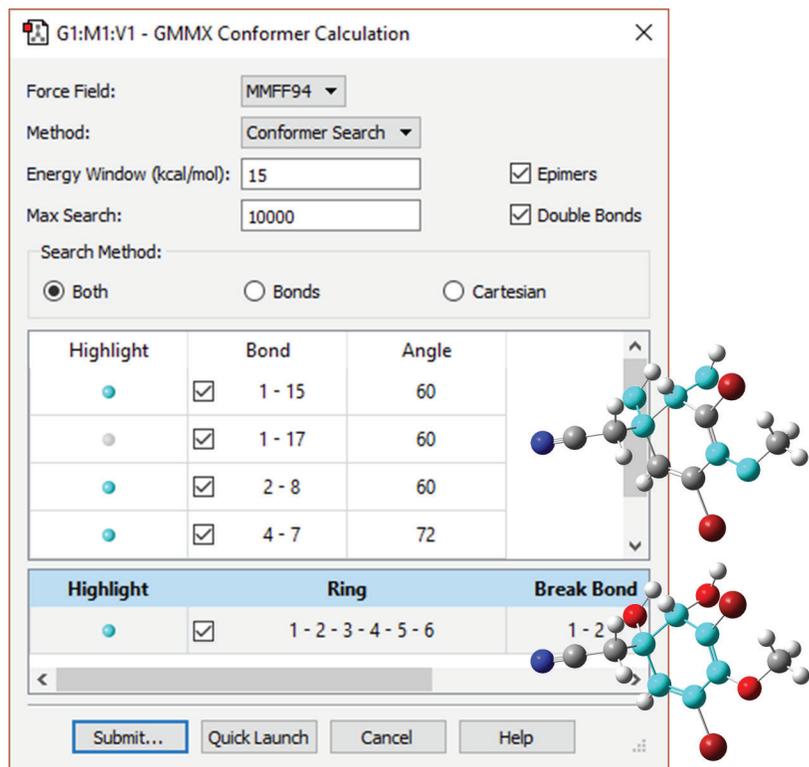
Algorithmic Improvements

Gaussian 16 also offers a wide variety of other performance improvements to many different parts of the program. Among the most significant are the following:

- ◆ An optimized memory algorithm which avoids I/O during CCSD iterations.
- ◆ Enhancements to GEDIIS optimization feature providing increased stability and more sophisticated automated detection/selection of the appropriate algorithm [Frisch17p].
- ◆ CASSCF improvements allowing active spaces of up to (16,16) to be used [Li11].
- ◆ Much faster performance for the low level part of the EPT (OVGF) compound model for predicting photoelectron spectra [DiazTinoco16].

Application Example: ROA Spectrum of Aeroplysinin-1

Aeroplysinin-1 is a brominated compound that is of interest as an antiangiogenic drug [NietoOrtega11]. Here we will briefly discuss how Gaussian and GaussView can be used to predict its ROA spectrum in order to determine the molecule's absolute configuration.



G1:M1:V1 - GMMX Conformer Calculation

Force Field: MMFF94

Method: Conformer Search

Energy Window (kcal/mol): 15 Epimers

Max Search: 10000 Double Bonds

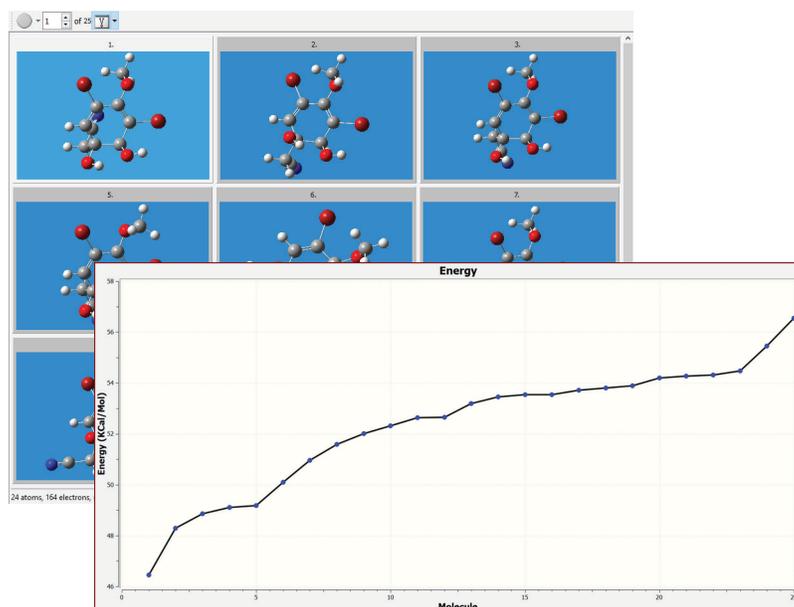
Search Method: Both Bonds Cartesian

Highlight	Bond	Angle
<input checked="" type="checkbox"/>	1 - 15	60
<input checked="" type="checkbox"/>	1 - 17	60
<input checked="" type="checkbox"/>	2 - 8	60
<input checked="" type="checkbox"/>	4 - 7	72

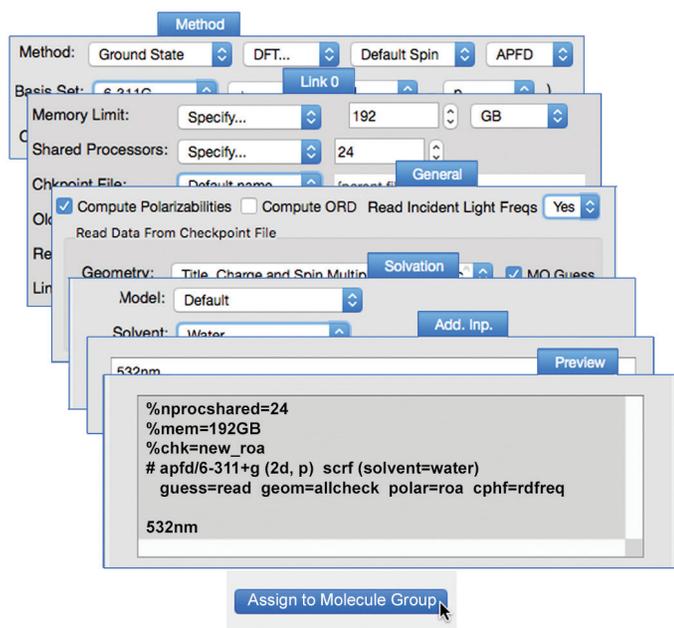
Highlight	Ring	Break Bond
<input checked="" type="checkbox"/>	1 - 2 - 3 - 4 - 5 - 6	1 - 2

Submit... Quick Launch Cancel Help

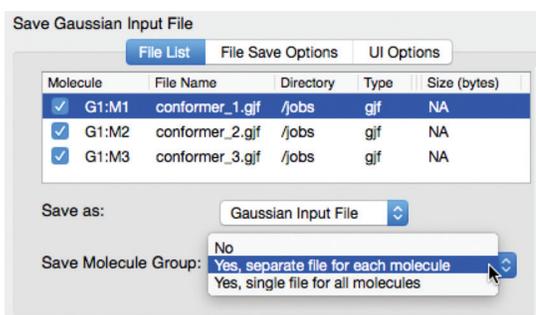
This molecule has many possible conformations, and predicting its ROA spectrum will need to take into account all of the energetically important ones. The GMMX add-on to GaussView performs efficient and comprehensive conformational searches. We use the dialog at the left to specify the parameters of the search procedure, including the force field (here MMFF94), the types and specific bonds and rings to modify and the energy range into which potential structures must fall (<15 kcal/mol of the lowest energy structure).



The conformational search finds 25 unique structures within the specified energy range. The structures can be opened into a single molecule group in one step, and the **Energy** plot feature graphs their relative energies. After examining the structures, we run modest accuracy optimizations to determine the set of unique conformations, which we then optimize and perform a vibrational frequency analysis with a high accuracy model chemistry.

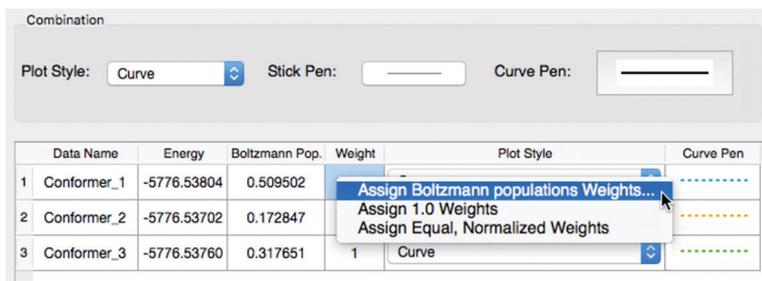


GaussView can set up identical jobs for all of the structures within a molecule group at the same time (using the **Assign to Molecule Group** button). The illustration shows the various controls we used to set up the final series of jobs: ROA spectra predictions starting from the results of the high accuracy optimization plus frequency calculation for the six conformations that contribute at least 5% to the Boltzmann distribution. The new **Preview** panel in the **Gaussian Calculation Setup** dialog displays the input file generated from the dialog selections.

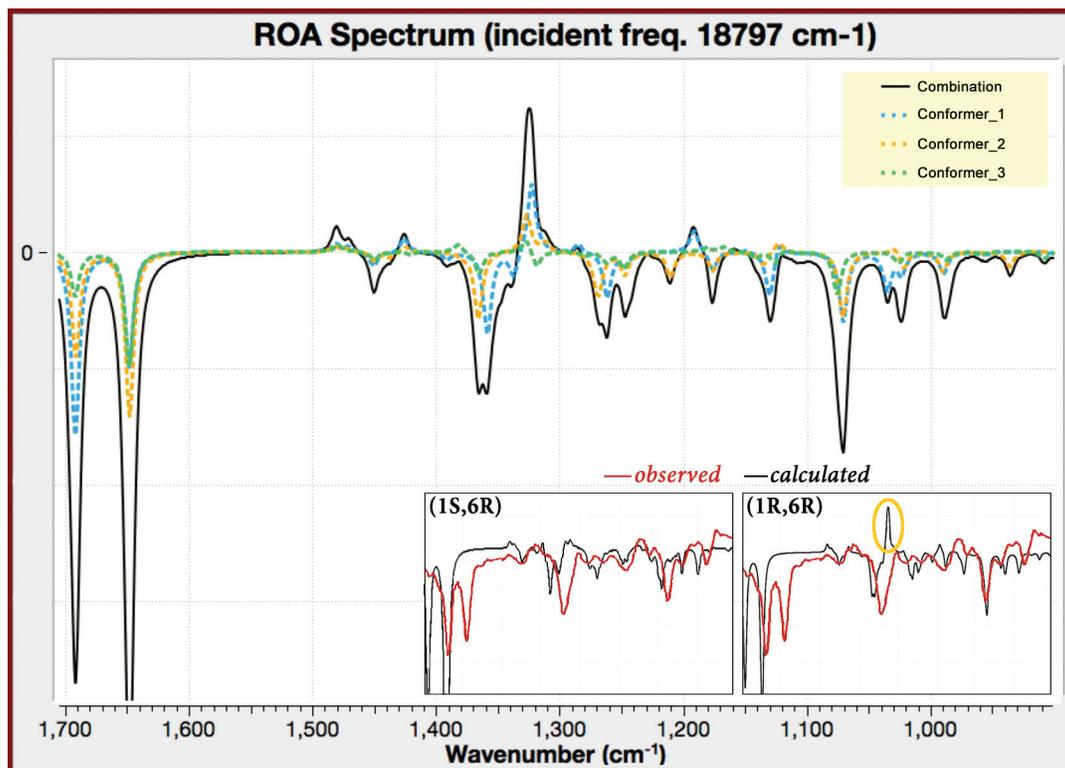


Once the Gaussian calculations have been set up, we can run them from within GaussView. The **SC Job Manager** facility provides a queueing system for the local computer, and we can save all the input files and submit them for execution as a group.

The dialog at the left shows the multiple file save facility. We use it to assign unique file names to the input file for each structure in the molecule group, and then save all of them in a single operation.



Once the ROA calculations have completed, we can examine the predicted spectra of each conformation individually or via a multi-structure spectrum plot (pictured at the bottom of the screen). The dialog shown at the left allows you to customize the plot by specifying the various line colors, types and widths. You can also scale the various curves with their Boltzmann weights as well as including the conformationally-averaged combination curve.



Predicted and Observed ROA Spectra

This GaussView plot shows the predicted spectra for the three lowest energy conformations of (1R,6R)-Aeropylsinin-1 (the dotted lines), as well as the computationally-averaged spectrum (solid black line), over the range of 900-1700 cm⁻¹. We have added an inset comparing the predicted and observed spectra for both diastereomers of this compound [NietoOrtega11]. The experimental spectrum corresponds to the (1S,6R) form; compare, e.g., the circled area in the predicted (1R,6R) spectrum. See [Foresman15, Exercise 7.8] for more details about this molecule.

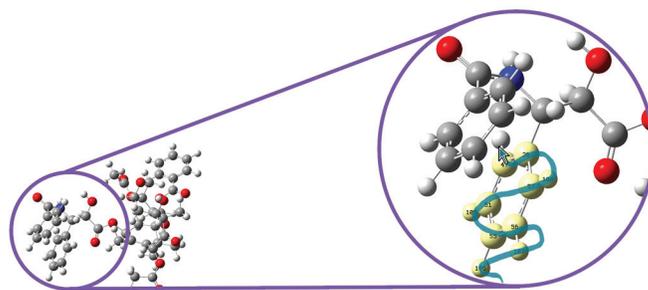
Ease of Use Features

Gaussian 16

- ◆ **Opt(Recalc=*n*)** automatically updates the force constants with accurate analytic values every *n*th step of a geometry optimization. It is helpful for molecular systems where large structural changes occur during the course of the optimization.
- ◆ An expanded set of Link 0 commands: the supported set of directives provides simple, direct management of calculation resource usage. All Link 0 commands have counterparts in *Default.Route* file directives, so resources can be specified and managed on a system-wide basis. There are also corresponding command line options for all them so that the same features are available to scripts.
- ◆ Tools for interfacing Gaussian with other programs, both in compiled languages such as Fortran and C and with interpreted languages such as Python and Perl. These tools are made available under a variation of the Mozilla Public License. They are designed for the following scenarios:
 - ◆ Scripts which automate running multiple related Gaussian jobs.
 - ◆ Post processing of Gaussian results.
 - ◆ Computations in other programs can use intermediate data generated by Gaussian, either by running an independent program that uses previously-calculated Gaussian results or by incorporating results from an external program into Gaussian calculations (e.g., ONIOM calculations in which the energies and forces are calculated using a method not available in Gaussian 16).
 - ◆ Rapid prototyping of new models/methods by using quantities computed by Gaussian so that only the unique aspects of the new model need to be implemented.
 - ◆ Classroom exercises employing interpreted languages such as Python or MatLab, so that they can focus on a specific issue without having to provide code for unrelated parts.
- ◆ Generalized internal coordinates (GICs): arbitrary redundant internal coordinates can be defined. GICs can potentially be used for freezing various structural parameters during the optimization of a molecular system, specifying parameters over which to perform a scan, and defining constraints for geometry optimizations based on structural parameters or complex relationships between them.

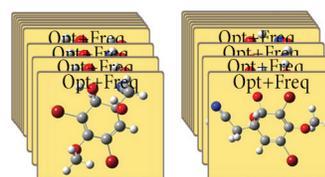
GaussView

- ◆ The new brush selection tool allows you to quickly select a group of atoms in dense regions where the rubberband tool cannot reach and which is too large for individual clicking. You can also select atoms by bond, distance, PDB residue or defined atom group using the enhanced **Atom Selection** tool.



- ◆ Many additional GaussView features can now be customized via the **Preferences**.

- ◆ Many operations can be performed on all atoms in a molecule group or on a set of files in a single step. These include setting up Gaussian calculations, saving input files, submitting jobs to the **SC Job Manager**, and capturing molecule images as graphics files.



- ◆ The movie creating facility has been significantly enhanced. It now allows you to generate high quality MPEG4 files. You can also control the looping (direction and repetitions), the interframe delay and the frames per cycle. Defaults can be customized for all parameters for both vibrational mode animations and structure sequences.



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